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1722 U.S. PTO

FORM PTO-1390 (REV 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 81880.0144
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NUMBER (If known, see 37 C.F.R. 1.5) Not assigned
INTERNATIONAL APPLICATION NO. PCT/JP2004/013796	INTERNATIONAL FILING DATE September 22, 2004	PRIORITY DATE CLAIMED September 25, 2003	
TITLE OF INVENTION Multi-Layer Piezoelectric Element			
APPLICANT(S) FOR DO/EO/US Takeshi OKAMURA, Katsushi SAKAUE and Mitsuo KONDO			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
Items 11. to 16. below concern document(s) or information included:			
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>			

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/23/73339</div>	INTERNATIONAL APPLICATION NUMBER PCT/JP2004/013796	ATTORNEY'S DOCKET NUMBER 81880.0144
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): Basic National Fee \$300 National Stage Search Fee \$400 National Stage Examination Fee \$200 <div style="text-align: right;">TOTAL FEE AMOUNT =</div>	CALCULATIONS PTO USE ONLY <hr/>																														
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).	\$ 900.00 \$ 130.00																														
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER ALLOWED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">29</td> <td style="text-align: center;">20</td> <td style="text-align: center;">9</td> <td>X \$50.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">1</td> <td style="text-align: center;">3</td> <td style="text-align: center;">0</td> <td>X \$200.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>X \$360.00</td> </tr> <tr> <td colspan="3">SIZE FEE <u>75</u> (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)</td> <td>\$250 for each additional 50 sheets</td> <td>X \$250.00</td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> </tbody> </table>	CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	Total claims	29	20	9	X \$50.00	Independent claims	1	3	0	X \$200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				X \$360.00	SIZE FEE <u>75</u> (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)			\$250 for each additional 50 sheets	X \$250.00	TOTAL OF ABOVE CALCULATIONS =					450.00 0 0 0 1480.00
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE																											
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TOTAL OF ABOVE CALCULATIONS =																															
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.																															
SUBTOTAL =																															
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).																															
TOTAL NATIONAL FEE =																															
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +																															
TOTAL FEES ENCLOSED =																															
<div style="display: flex; justify-content: space-between;"> \$ <div style="border: 1px solid black; padding: 5px;"> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amt. Refunded</td> <td style="width: 40%; text-align: center;">\$</td> </tr> <tr> <td>Amt. charged</td> <td></td> </tr> </table> </div> </div>					Amt. Refunded	\$	Amt. charged																								
Amt. Refunded	\$																														
Amt. charged																															

a. ☒ Check in the amount of \$1610.00 to cover the above fee is enclosed.

b. ☐ Please charge my Deposit Account No. 50-1314 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1314. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

☒ Customer Number 000026021 →

HOGAN & HARTSON L.L.P.
 500 South Grand Avenue, Suite 1900
 Los Angeles, California 90071
 Phone: 213-337-6700
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SIGNATURE

 Troy M. Schmelzer
 REG. NO. 36,667
 Express Mail Label No. EV 667 730 966 US
 Date: March 23, 2006

11/20/2006 11:28:29
PATENT

Attorney Docket No: 81880.0144

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takeshi OKAMURA et al.

Serial No: Not assigned

Filed: March 23, 2006

For: Multi-Layer Piezoelectric Element

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 667 730 966 US

Date of Deposit: March 23, 2006

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 1610.00 as filing fee, search fee and examination fee
- ☒ patent application (71 page(s) of specification; 29 claim(s); 1 page(s) of abstract
- ☒ 3 sheet(s) of formal drawings
- ☒ Information Disclosure Statement with 4 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: March 23, 2006

Hogan & Hartson, LLP
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

Joanna Alonso

Name of person mailing papers


Signature